

Docket No. 220802US2XPCT/ims



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shigeo YAMANAKA, et al.

SERIAL NO: 10/088,503

GAU: 2633

FILED: March 28, 2002

EXAMINER: T. Q. LE

FOR: OPTICAL WAVELENGTH DIVISION MULTIPLEXING AND TRANSMISSION APPARATUS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited in the attached European Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☒ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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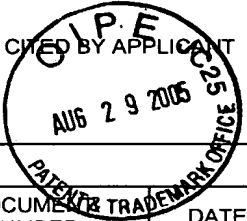
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 220802US2X PCT		SERIAL NO. 10/088,503	
LIST OF REFERENCES CITED BY APPLICANT 				APPLICANT Shigeo YAMANAKA, et al.			
				FILING DATE March 28, 2002		GROUP 2633	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,546,210	08/13/1996	Andrew R. CHRAPLYVY, et al.			
	AB	6,091,538	07/18/2000	Noriyuki TAKEDA, et al.			
	AC	6,614,567 B1	09/02/2003	Daniel Yousef AL-Salameh, et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO		
	AO	2 763 449	11/20/1998	FRANCE			X
	AP	0 936 761 A1	08/18/1999	EUROPE			
	AQ	9-261205	10/03/1997	JAPAN			X
	AR	1 146 671 A2	10/17/2001	EUROPE			
	AS	1 087 551 A2	03/28/2001	EUROPE			
	AT	1 139 588 A2	10/04/2001	EUROPE			
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	K. TANAKA, et al., "320Gbit/s (32x10.7 Gbit/s) error-free transmission over 7280km using dispersion flattened fibre link with standard S MF and slope compensating DCF", Electronics Letters, vol. 35, no. 21, October 14, 1999, 2 pages					
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.